### (19)日本国特許庁 (JP)

# (12) 公開特許公報(A)

(11)特許出願公開番号 特開2002-73196 (P2002-73196A)

(43)公開日 平成14年3月12日(2002.3.12)

(51) Int.Cl. <sup>7</sup>	識別記号	FΙ	テーマコード(参考)
G06F 1/0		B 4 2 D 15/10 5	21 20005
B42D 15/1	521	G06F 12/00 5	37A 5B017
G06F 12/0	537	12/14 3	10K 5B035
12/14	1 310	9/06 6	60D 5B076
G06K 19/0	73	G06K 19/00	P 5B082
		審査請求 未請求 請求項の	数11 OL (全 16 頁)
(21)出願番号	特願2000-268676(P2000-268676)	(71) 出願人 000002897	
		大日本印刷株式会	£
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		Fターム(参考) 20005 MA40 MB	04 SA05 SA06 SA23
		SA25	
		5B017 AA02 BA	06 CA14
		5B035 BB09 CA	38
		5B076 FB02 FB	09
		5B082 EA12 JA	08

### (54) 【発明の名称】 共有アクセス管理機能を備えた携帯可能な情報処理装置

## (57)【要約】

【課題】 カード発行後のアプリケーションの追加や削除に対応し、かつ共有アクセスにおけるセキュリティを高めるようにする。

【解決手段】 書き換え可能な複数のアプリケーションを搭載可能で、各アプリケーション間での共有アクセスが可能な携帯可能な情報処理装置において、各アプリケーションが共有管理情報を有し、他のアプリケーションからのアクセス要求があったとき共有管理情報に基づいてアクセスの許可/不許可、アプリケーションの追加削除を判断するようにしたものである。

アプリケーション管理情報				
アプリケーション ID (AID)	アクセス種別 (TYPB)	許可状態 (PLG)	要求回数 (COUNT)	
0	0	0 (=不許可)	0	
1	1	1 (=許可)	1	
2	2	2 (=閉塞)	3	

(a)

アクセス管理情報				
アクセス種別 認証レベル 返却値				
0	1	Read 0		
2	1	Write0		
3	2	Variable		

(b)

認証管理情報				
認証レベル	アクセス認証条件 (例:PIN1)	追加/削除認証条件 (例:PIN2)		
1	1234	4321		
2	5678	8765		

#### 【特許請求の範囲】

【請求項1】 書き換え可能な複数のアプリケーション を搭載可能で、各アプリケーション間での共有アクセス が可能な携帯可能な情報処理装置において、各アプリケ ーション(サーバーアプリケーション)が共有管理情報 を有し、他のアプリケーション(クライアントアプリケ ーション) からのアクセス要求があったとき共有管理情 報に基づいてアクセスの許可/不許可を判断することを 特徴とする共有アクセス管理機能を備えた携帯可能な情 報処理装置。

1

【請求項2】 前記共有管理情報は、アプリケーション 管理情報、アクセス管理情報、認証管理情報からなるこ とを特徴とする請求項1記載の携帯可能な情報処理装

【請求項3】 前記アプリケーション管理情報はクライ アントアプリケーションを特定するためのIDごとのア クセス種別、前記 I Dとアクセス種別に対する許可状態 を示す情報、アクセス種別ごとの認証に失敗した要求回 数からなることを特徴とする請求項2記載の携帯可能な 情報処理装置。

【請求項4】 前記アクセス管理情報は、アクセス種別 ごとの認証レベル、アクセスしたクライアントアプリケ ーションに返す返却値からなることを特徴する請求項2 記載の携帯可能な情報処理装置。

【請求項5】 前記認証管理情報は、認証レベルに応じ たアクセス認証条件と追加削除認証条件からなることを 特徴とする請求項2記載の携帯可能な情報処理装置。

【請求項6】 前記共有管理情報は、アプリケーション 管理情報、追加削除認証条件管理情報からなることを特 徴とする請求項1記載の携帯可能な情報処理装置。

【請求項7】 前記アプリケーション管理情報はクライ アントアプリケーションを特定するためのIDごとのア クセス種別、前記IDとアクセス種別に対する許可状態 を示す情報、アクセス種別ごとの認証に失敗した要求回 数、アクセス認証条件からなることを特徴とする請求項 6記載の携帯可能な情報処理装置。

【請求項8】 前記追加削除認証条件管理情報は、追加 削除認証条件と要求回数からなることを特徴とする請求 項6記載の携帯可能な情報処理装置。

【請求項9】 前記要求回数が規定値を超えたとき、許 40 可状態を閉塞にして以後のアクセスを受けつけないこと を特徴とする請求項3、7または8記載の携帯可能な情 報処理装置。

【請求項10】 クライアントアプリケーションからの 共有アクセスがあったとき、許可状態を示す情報が許可 状態であり、かつ認証が成立したことを条件に、サーバ ーアプリケーションは許可状態にあるアクセス種別の関 数群及び/又はオブジェクトのポインタを渡し、クライ アントアプリケーションはサーバーの関数を呼び出し、

携帯可能な情報処理装置。

【請求項11】 外部端末装置からアプリケーション管 理情報へアプリケーションID、アクセス種別が追加さ れ、サーバーアプリケーションの関数群及び/又はオブ ジェクトのポインタを獲得したクライアントアプリケー ションから共有アクセスがあったとき、認証が成立した ことを条件にサーバーアプリケーションはアプリケーシ ョン管理情報の許可状態情報を許可とし、クライアント アプリケーションがサーバーアプリケーションの関数を 呼び出すと、当該関数のアクセス種別が許可状態か否か 10 アプリケーション管理情報を参照して判断し、許可状態 にあることを条件に関数は処理を実行することを特徴と する請求項7記載の携帯可能な情報処理装置。

【発明の詳細な説明】

[0001]

【発明の属する技術分野】本発明は書き換え可能な複数 のアプリケーションを搭載可能な携帯可能情報処理装置 (例えば、ICカード) に係り、特にアプリケーション 間のアクセスの管理に関するものである。

[0002] 20

> 【従来の技術】ICカード上のアプリケーション間のア クセスはセキュリティ上問題があり、通常、OS等によ り不可能となっている(ファイアーウォール)。しか し、複数のアプリケーションを搭載したICカードでは 限られた資源を有効に使うため、機能やデータの共有を 目的として、お互いに管理された環境下での限定的なア クセス(共有アクセス)を許す必要がある。さらに各ア プリケーションは任意のタイミングで追加、削除される ため、管理方法もそれに対応する必要がある。この共有 アクセスについて以下に説明するが、ここでは、共有ア クセスを要求する側をクライアントアプリケーション、 共有アクセスを許可し、機能や情報を提供する側をサー バーアプリケーションと呼ぶことにする。

【0003】従来、ICカードにアプリケーションを搭 載する場合に、予め共有アクセスを要求されると予測さ れる他のアプリケーションの情報 (ID) と認証のため のパラメータ (PIN: Personal Ident ification Number) 等を各アプリケー ションにデータとして与えておき、アプリケーションが 他のアプリケーションにアクセスしたい場合、対象とな るアプリケーションに対して自分のアプリケーションの IDと引き数となる認証パラメータ(PIN等)を渡 す。アクセスを要求されたサーバーアプリケーション側 では渡されたアプリケーションIDと認証パラメータを 予め自分が知っているデータ(アプリケーションIDと 認証パラメータの組)と比較し、一致/不一致によって アクセス許可/不許可を判定する。他のアプリケーショ ンに関する情報(アプリケーションIDと認証パラメー タの組)を追加する場合には、アプリケーションのプロ 関数は処理を実行することを特徴とする請求項3記載の 50 グラムを新しく作成し、ICカードに再びインストール

しなおす手順により行う。

### [0004]

【発明が解決しようとする課題】このような従来のIC カードにおける共有アクセス管理は、次のような問題が あった。

①自分以外のアプリケーションの情報はカード発行時に 確定してしまうため、想定しなかったアプリケーション の追加や削除に対して正しく共有アクセスの許可/不許 可を管理できなかった。従来では、後から追加されたア 定的に使用を認めることができるようにしている。

②後から作成されたアプリケーションに対応するため に、既存のアプリケーションを入れなおすと、特にアプ リケーションとデータが一体になって管理されている仕 組みのICカードの場合に、そのアプリケーションに対 応したデータも一緒に消えてしまう場合がある。

③認証パラメータ(PIN)を変更して何度もアクセス を試す等、不正なアプリケーションが不正な共有アクセ ス権を取得できてしまう可能性があった。

【0005】本発明は上記課題を解決するためのもの で、カード発行後のアプリケーションの追加や削除に対 応できるようにするとともに、不正な共有アクセスや共 有管理情報への不正なアクセスを監視してアプリケーシ ョンに対してアクセスを閉塞することで、セキュリティ を高めるようにすることを目的とする。

#### [0006]

【課題を解決するための手段】本発明は、書き換え可能 な複数のアプリケーションを搭載可能で、各アプリケー ション間での共有アクセスが可能な携帯可能な情報処理 装置において、各アプリケーション(サーバーアプリケ 30 ーション)が共有管理情報を有し、他のアプリケーショ ン (クライアントアプリケーション) からのアクセス要 求があったとき共有管理情報に基づいてアクセスの許可 /不許可を判断することを特徴とする。また、本発明 は、共有管理情報が、アプリケーション管理情報、アク セス管理情報、認証管理情報からなることを特徴とす る。また、本発明は、アプリケーション管理情報がクラ イアントアプリケーションを特定するためのIDごとの アクセス種別、前記IDとアクセス種別に対する許可状 態を示す情報、アクセス種別ごとの認証に失敗した要求 40 回数からなることを特徴とする。また、本発明は、アク セス管理情報が、アクセス種別ごとの認証レベル、アク セスしたクライアントアプリケーションに返す返却値か らなることを特徴する。また、本発明は、認証管理情報 が認証レベルに応じたアクセス認証条件と追加削除認証 条件からなることを特徴とする。また、本発明は、共有 管理情報が、アプリケーション管理情報、追加削除認証 条件管理情報からなることを特徴とする。また、本発明 は、アプリケーション管理情報がクライアントアプリケ ーションを特定するためのIDごとのアクセス種別、前 50

記IDとアクセス種別に対する許可状態を示す情報、ア クセス種別ごとの認証に失敗した要求回数、アクセス認 証条件からなることを特徴とする。また、本発明は、追 加削除認証条件管理情報が、追加削除認証条件と要求回 数からなることを特徴とする。また、本発明は、要求回 数が規定値を超えたとき、許可状態を閉塞にして以後の アクセスを受けつけないことを特徴とする。また、本発 明は、クライアントアプリケーションからの共有アクセ スがあったとき、許可状態を示す情報が許可状態であ プリケーションには「その他の場合」として対応し、限 10 り、かつ認証が成立したことを条件に、サーバーアプリ ケーションは許可状態にあるアクセス種別の関数群及び /又はオブジェクトのポインタを渡し、クライアントア プリケーションはサーバーの関数を呼び出し、関数は処 理を実行することを特徴とする。また、本発明は、外部 端末装置からアプリケーション管理情報へアプリケーシ ョンID、アクセス種別が追加され、サーバーアプリケ ーションの関数群及び/又はオブジェクトのポインタを 獲得したクライアントアプリケーションから共有アクセ スがあったとき、認証が成立したことを条件にサーバー 20 アプリケーションはアプリケーション管理情報の許可状 態情報を許可とし、クライアントアプリケーションがサ ーバーアプリケーションの関数を呼び出すと、当該関数 のアクセス種別が許可状態か否かアプリケーション管理 情報を参照して判断し、許可状態にあることを条件に関 数は処理を実行することを特徴とする。

### [0007]

【発明の実施の形態】以下、図面を参照して本発明の実 施の形態を、形態可能な情報処理装置としてICカード を例にとって説明する。

(第1の実施例) 図1はICカードに搭載される各アプ リケーションが持つ共有管理情報を説明する図である。 共有管理情報はアプリケーション管理情報(図1

(a))、アクセス管理情報(図1(b))、認証管理 情報(図1(c))からなっている。アプリケーション 管理情報は、クライアントアプリケーションのID(A ID)、アクセス種別(TYPE)、許可状態、要求回 数からなり、クライアントアプリケーションからの共有 アクセスを管理し、各アプリケーションが個々に所有す るが、共有アクセス機能を提供しないアプリケーション は持たなくてもよい。その場合は、どのアプリケーショ ンからの共有アクセス要求も受けつけないことになる。 【0008】アプリケーションID(AID)はクライ アントアプリケーションを特定するための少なくとも1 Cカード内でユニークな番号である。アクセス種別(T YPE) はそのアプリケーションに与えるアクセスの種 別で共有するデータや関数、オブジェクト(データと機 能を一まとめにした単位)や、それらの集合を表す番号 を指定するか、あるいは直接アドレスやポインタ、関数 名を指定するようにしても良い。

【0009】許可状態はあるアプリケーションIDとア

5

クセス種別に対するアクセスの許可状態を表し、最低限、許可/不許可/閉塞を表せるものとする。その他にアクセス速度を速くするために、一度認証が成功したら、その後認証をしなくても良い「常時許可」や回数や期限を限定した「一時許可」等の状態を設けるようにしても良い。閉塞状態では、後述するように、共有アクセスの認証自体を受け付けない。要求回数はアクセス種別の認証に失敗した回数、およびアプリケーション管理情報への追加/削除要求の認証失敗の回数をカウントする。なお、追加と削除について両者を分けてカウントで10る。きるように項目を追加するようにしても良い。

【0010】アクセス管理情報はアクセス種別、認証レベル、返却値からなる。認証レベルはその共有アクセス時に必要な認証のレベルを示す番号である。返却値はクライアントアプリケーションに返却する共有アクセスのための参照値で関数名、変数名、関数へのポインタ、変数へのポインタ、オブジェクトへの参照、実アドレス、値(変数への値そのもの)等、あるいはそれらの集合である。

【0011】認証管理情報は認証ベルとアクセス認証条 20件(PIN1)、追加/削除認証条件(追加/削除のために予め有しているPIN2との照合)からなる。ある認証レベルの共有アクセスの要求があった場合、それに対応したアクセス認証条件(予め保持しているPIN2との比較)を満たした場合に、共有アクセスが許される。

【0012】アプリケーション管理情報はアプリケーション名とアクセス種別の組単位で追加/削除可能とし、その際、図1(b)に示すように、アクセス種別に対応する認証レベルが設定されており、その認証レベルに対 30応した追加/削除認証条件を満たすことを条件とするように、図1(c)のように、レベル毎に異なるPINを用意する。

【0013】次にアプリケーション管理情報の追加/削除の手順について説明する。

**②**クライアントアプリケーション、または端末側から、追加(削除)するアプリケーション I Dとアクセス種別、認証パラメータ(PIN)をサーバーアプリケーションに渡す。

②サーバーアプリケーションはアクセス種別に対応した 40 認証条件を検索し、認証処理 (PINの照合等)をする。認証に成功したら、自分が持つアプリケーション管理情報にアプリケーションIDとアクセス種別と許可状態 (許可)を追加する。

②不正なアプリケーションや外部からの不正アクセスを防ぐため、認証に失敗した場合は要求回数のカウントを1つ増し、特定の回数に達したら許可状態を「閉塞」し、それ以降、アプリケーションIDとアクセス種別の追加、削除要求を閉塞する。閉塞解除は行えないか特定の認証を必要とするようにする。

【0014】次に共有アクセス管理の手順について説明する。

**②**クライアントアプリケーションから直接、または○Sを通じてデータや処理にアクセス要求が発生する。

②サーバーアプリケーションは共有管理テーブルを参照し、アプリケーション I D とアクセス種別の組から許可状態フラグを検索し、許可であり、かつ認証条件があえばクライアントアプリケーションへアクセスの手段を返却値(データや機能への参照アドレス等)として提供する。

【0015】図2は各アプリケーションが持つ(メモリ領域に格納)共有管理情報を説明する図である。図示するようにアプリケーションは自分のアプリケーションID(AID)と共有管理テーブルの情報を持ち、AID0のアプリケーションがAID1、AID2についてアプリケーション管理情報、アクセス管理情報、認証管理情報を持っている。図では本来アプリケーションが持つデータ、関数、その他が格納されているメモリ領域は図示を省略している。なお、以下ではAID0、1、2のアプリケーションをそれぞれアプリケーション0、1、2と呼ぶことにする。

【0016】図3はクライアントアプリケーションから 共有アクセスを求める例を説明する図である。図の例で は、アプリケーション1がアプリケーション0に対して アクセス種別1のアクセス許可を求める様子を示してい る。サーバーとなるアプリケーション0はAIDとTY PE(アクセス種別)の組から認証PINを検索し、P IN1が1234で、一致していること、アクセス状態 が許可(フラグ=1)であることを確認し、アクセス許 可を出し、共有データ関数への参照を返す。

【0017】図4は共有アクセスに失敗する例を示す図である。アプリケーション3がアプリケーション0に対してアクセス種別(TYPE)2の許可を求めている様子を示している。サーバーとなるアプリケーション0はAID3とTYPE2の組が共有管理テーブルにないため、共有アクセス許可を出さない。

【0018】図5は共有管理情報にアプリケーションを追加する例を示す図である。アプリケーション3がアプリケーション0に対して共有管理情報にアプリケーションを追加する様子を示しており、アプリケーション3が AID=3、TYPE=2とそれに対応する認証パラメータ(PIN2=8765)をサーバーとなるアプリケーション0に送る。アプリケーション0はTYPE=2のアクセス権を追加するのに必要な認証レベル2のPIN=8765(予め保持)と、アプリケーション3からのPIN2を比較し、両者が一致しているので共有管理情報にAID3、TYPE2を追加する。その結果、図6に示すように、共有管理情報にアプリケーション3が追加される。

50 【0019】図7は共有アクセスとアプリケーション管

理情報の追加処理フローを示す図である。外部端末ある いはクライアントから呼び出し(関数呼び出しまたは通 信)が発生すると(ステップS1)、アクセス要求か否 か判断する(ステップS2)。アクセス要求であるとア プリケーション管理情報にそのAID、TYPEがある か否か判断する(ステップS3)。アプリケーション管 理情報にAID TYPEがある場合、次いで認証処理 (PINが一致か否か)を行い(ステップS4)、PI Nが一致した場合、アクセス種別に対応した参照(返却 値)を返す(ステップS5)。ステップS4において、 P I Nが一致しない場合、アプリケーション管理情報の 要求回数を1追加し(ステップS6)、要求回数が規定 値を超えたか否か判断し(ステップS7)、超えた場合 にはアプリケーション管理情報の許可状態フラグを2に セットし、このアプリケーションのアクセスを閉塞する (ステップS8)。ステップS2において、アクセス要 求でない場合、アプリケーション管理情報への追加要求 か否か判断する(ステップS9)。追加要求である場 合、アクセス種別から認証レベルを検索し、追加用の認 証レベル2のPINを得る(ステップS10)。次い で、PINが一致するか否か判断し(ステップS1 1)、一致する場合にはアプリケーション管理情報に A ID、アクセス種別があるか否か判断し(ステップS1 2)、ない場合にはアプリケーション管理情報に追加 し、許可状態フラグを1にセットする。アプリケーショ ン管理情報にAIDアクセス種別がある場合には、それ が閉塞されているか否か判断し(ステップS13)、閉 塞されている場合には処理は終了し、閉塞されていない 場合は許可状態フラグが1にセットされる。

【0020】図8は共有アクセス管理の手順を説明する 30 処理フローである。外部端末かクライアントからアプリケーション管理情報へAID、アクセス種別、許可状態を追加し(ステップS21)、クライアントが共有アクセスを求めると(ステップS22)、許可状態が許可であるか、かつPINが一致するか否か判断し(ステップS23)、これらを満たさない場合はアクセスを不許可とし、満たす場合には、サーバーはクライアントに許可されたアクセス種別の関数群へのポインタを返す(ステップS24)。クライアントはサーバーの関数を呼び出し(ステップS25)、関数(実際はオブジェクト)は 40 処理を実行する(ステップS26)。この時、すでに許可済みの関数へのポインタが与えられているので、特にチェックはせず、処理が実行される。

(第2の実施例)第1の実施例においては、アクセス種別毎に認証条件が異なり、許可状態になっていると、関数へのポインタを得た時点で自由にアクセスできるようにし、また認証レベル毎に異なるPINを用意するようにしていたが、アプリケーションIDとアクセス種別に対して認証条件を設定してレベル毎に異なるPINを用意せず、アクセスがあったときに全てのポインタを返

し、各関数の実行時に関数自身が許可状態を参照するようにした例について以下に説明する。

【0021】図9は共有管理情報を説明する図で、アプリケーション管理情報と追加削除認証条件管理情報とからなっている。アプリケーション管理情報はAID、アクセス種別、許可状態、要求回数、認証条件からなっており(図9(a))、追加削除認証条件管理情報は認証条件(PIN2)と要求回数とからなっている。そしてアプリケーション管理情報はアプリケーション名とアクセス種別の組単位で追加、削除を可能とし、その際には、図9(b)の追加削除認証条件を満たすことが条件となる。

【0022】第2の実施例におけるアクセス管理情報の 追加手順について説明する。

●端末側から追加削除用認証条件(PIN2)をサーバーアプリケーションに渡す。認証が成功すれば次に進み、不正なアプリケーションや外部からの不正アクセスを防ぐため、認証に失敗した場合は要求回数のカウントを1つ増し、特定の回数に達したら「閉塞」し、それ以20 降サーバーアプリケーションへの追加、削除要求を閉塞する。閉塞解除は行えないか、特定の認証を必要とする。

②端末側から追加するアプリケーションIDとアクセス種別、認証パラメータ(PIN1)をサーバーアプリケーションに渡す。サーバーアプリケーションは自分が持つアプリケーション管理情報にアプリケーションIDとアクセス種別と認証条件(PIN1)を追加する。

【0023】次にアプリケーション管理情報の削除の手順について説明する。

●端末側から追加削除用認証条件(PIN2)をサーバーアプリケーションに渡す。認証が成功すれば次に進み、不正なアプリケーションや外部からの不正アクセスを防ぐため、認証に失敗した場合は要求回数のカウンタを1つ増し、特定の回数に達したら「閉塞」し、それ以降サーバーアプリケーションへの追加削除要求を閉塞する。閉塞解除は行えないか、特定の認証を必要とする。
 ②端末側から削除するアプリケーションIDとアクセス種別、認証パラメータ(PIN1)をサーバーアプリケーションに渡す。

40 ③サーバーアプリケーションは自分が持つアプリケーション管理情報内からアプリケーションIDとアクセス種別を検索し、認証条件(PIN1)についてチェックする。認証が成功したら、そのアプリケーションIDとアクセス種別に対する管理情報を削除する。

【 0 0 2 4 】次に、共有アクセス管理の手順について説明する。

①クライアントアプリケーションから直接、またはOS を通じて共有アクセスを要求し、サーバーアプリケーションからは各関数(実際はオブジェクト)への参照ポイ50 ンタが返ってくる。

10

②クライアントアプリケーションは上記参照ポインタを 通じてAIDとアクセス種別認証用PINをパラメータ として送る。

③サーバー (実際はサーバーの認証用関数) は管理テー ブルを参照し、アプリケーションIDとアクセス種別の 組から認証条件を検索し、認証に成功すれば許可フラグ を立てて許可状態とする。

④クライアントはサーバーから共有している各関数を呼 び出しAIDもパラメータとして渡す。

**⑤**呼び出されたサーバーの各関数は管理テーブルを参照 10 し、AIDとアクセス種別(各関数はどのアクセス種別 に属するか予め定義されている) に対応する許可フラグ が「許可」であるか否かをチェックし、許可であれば処 理を実行する。

【0025】図10はアプリケーションが持つ共有管理 情報を説明する図で、アプリケーションOがAID1、 2についての管理情報と、追加削除認証条件PIN2= 4321を有していることが示されている。

【0026】図11はクライアントアプリケーションか ション1がアプリケーション0に対してアクセス種別1 のアクセス許可をもとめている様子を示しており、サー バーとなるアプリケーション〇はAIDとアクセス種別 の組から認証PINを検索し、PIN1がそれぞれ12 34で、正しいことを確認する。PIN1が一致したの で、AID1、TYPE1について許可状態フラグを1 としている(図12)。

【0027】図13は共有アクセスに失敗した例を示す 図である。アプリケーション1がアプリケーション0に 対してアクセス種別1のアクセス許可を求めており、サ 30 ーバーとなるアプリケーションOはAID1とアクセス 種別1のPIN1が1234であり、アプリケーション 1が提示してきたPIN1が4321であるので、認証 が成立せず共有アクセス許可を出さない。

【0028】図14は共有管理情報にアプリケーション を追加する例を示す図である。アプリケーション3がア プリケーション0に対してアクセス種別2とそれに対応 する認証パラメータPIN1、PIN2をサーバーとな るアプリケーション0に送る。アプリケーション0のア クセス権を追加するのに必要な認証条件(PIN2)に 40 ついて認証し、アプリケーション3から送られてくるP IN2=4321がアプリケーション0の持つPIN2= 4321と一致するので、AID=3、TYPE= 2、PIN1=9876を図15に示すように追加す

【0029】図16は第2の実施例の処理フローを示す 図である。

【0030】外部からの呼び出しが発生すると(ステッ プS31)、共有アクセスの認証要求か否か判断する (ステップS32)。共有アクセス認証要求である場

合、アプリケーション管理情報にそのAID、アクセス 種別があるか否か判断する(ステップS33)。ある場 合にそれが閉塞されていないか否か判断し(ステップS 34)、閉塞されていない場合、PINが一致するか否 か判断する(ステップS35)。PINが一致すると、 AIDとアクセス種別に対応した認証フラグを立てる  $(Z_{7}, Z_{8})$  。 $Z_{7}, Z_{8}$   $Z_{8}$   $Z_{$ が一致していないと、アプリケーション管理情報の要求 回数を1増加させ(ステップS37)、次いで要求回数 が規定値を超えたか否か判断し(ステップ S 3 8)、超 えている場合アプリケーション管理情報の該当する A I Dとアクセス種別のフラグを2にセットし、閉塞する (ステップS39)。ステップS32において、共有ア クセス認証の要求でない場合、共有している関数の呼び 出し要求か否か判断する(ステップS40)。関数の呼 び出し要求であると、呼ばれた関数のアクセス種別をセ ットし(ステップS41)、次いでアプリケーション管 理情報にそのAIDとアクセス種別があるか否か判断し (ステップS42)、ある場合にそれが閉塞されていな ら共有アクセスを求める例を示す図である。アプリケー 20 いか否か判断する(ステップS43)。閉塞されていな い場合には、認証フラグが立っているか否か判断し(ス テップS 4 4)、認証フラグが立っていると呼ばれた関 数の処理を実行する(ステップS45)。

【0031】図17は第2の実施例における共有アクセ ス管理の手順を示す処理フローである。外部端末からア プリケーション管理情報へAID、アクセス種別が追加 (ステップS51) されたクライアントアプリケーショ ンはサーバーアプリケーションの関数群のポインタを得 ることができる(ステップS52)。このクライアント アプリケーションが共有アクセスの要求を行うと(ステ ップS53)、サーバーアプリケーションはPINが一 致するか否か判断し(ステップS54)、PINが一致 するとアプリケーション管理情報の許可状態を「許可」 にし(ステップS55)、一致しなければ不許可とす る。次いで、クライアントアプリケーションがサーバー アプリケーションの関数を呼び出すと(ステップS5 6)、この関数のアクセス種別が許可状態にあるか否か アプリケーション管理情報を参照して判断し(ステップ S57)、許可状態にあれば関数は処理を実行し、許可 状態でなければアクセス不許可となる。

#### [0032]

【発明の効果】以上のように、本発明によれば、複数の アプリケーションを搭載可能な携帯可能情報処理装置に おける共有アクセス管理において、当初想定していなか ったアプリケーションの追加や削除に対しても正しくア クセス種別の許可/不許可を管理でき、機能やデータを アクセス可能/不可能とすることが可能である。また、 不正なアプリケーションからの共有アクセスを防ぎ、不 正な共有アクセス権の取得を防ぐことが可能となる。

【図面の簡単な説明】 50

【図1】 ICカードに搭載される各アプリケーションが持つ共有管理情報を説明する図である。

11

【図2】 各アプリケーションが持つ共有管理情報を説明する図である。

【図3】 クライアントアプリケーションから共有アクセスを求める例を説明する図である。

【図4】 共有アクセスに失敗する例を示す図である。

【図5】 共有管理情報にアプリケーションを追加する 例を示す図である。

【図7】 共有アクセスとアプリケーション管理情報の 追加処理フローを示す図である。

【図8】 共有アクセス管理の手順を説明する処理フロー図である。

【図9】 共有管理情報を説明する図である。

【図10】 アプリケーションが持つ共有管理情報を説\*

\*明する図である。

【図11】 クライアントアプリケーションから共有アクセスを求める例を示す図である。

【図12】 認証済みフラグが立つ例を示す図である。

【図13】 共有アクセスに失敗した例を示す図である。

【図14】 共有管理情報にアプリケーションを追加する例を示を図である。

【図15】 共有管理情報にアプリケーションが追加された例の図である。

【図16】 第2の実施例の処理フローを示す図である。

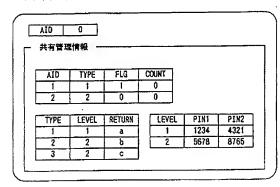
【図17】 第2の実施例の共有アクセス管理の手順の 処理フローを示す図である。

【符号の説明】

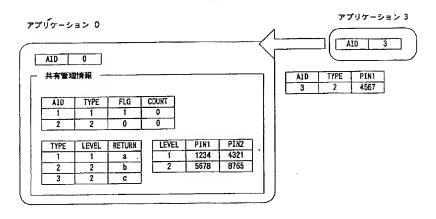
AID…アプリケーションID、TYPE…アクセス種

【図2】

アプリケーション 0



【図4】



【図1】

アプリケーション管理情報					
アプリケーション       アクセス種別       許可状態       要求回数         ID(AID)       (TYPE)       (FLG)       (COUNT)					
0	0	0 (=不許可)	0		
1	1	1 (=許可)	1		
2	2	2 (=閉塞)	3		

Marian ...

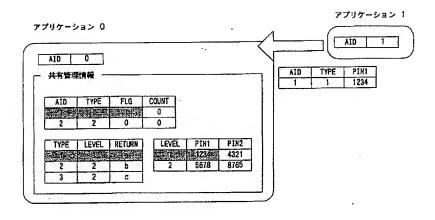
(a)

アクセス管理情報				
アクセス種別 認証レベル 返却値				
0	1	Read 0		
2	1	Write0		
3	2	Variable		

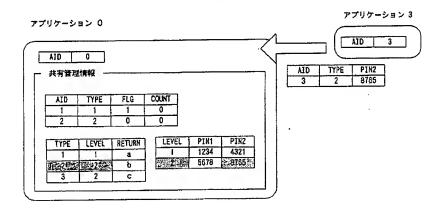
(b)

認証管理情報				
認証レベル アクセス認証条件 追加/削除認証(例: PIN1) (例: PIN2)				
1	1234	4 3 2 1		
2	5 6 7 8	8765		

[図3]

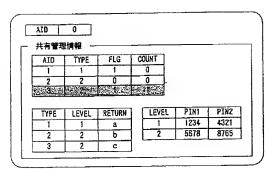


【図5】

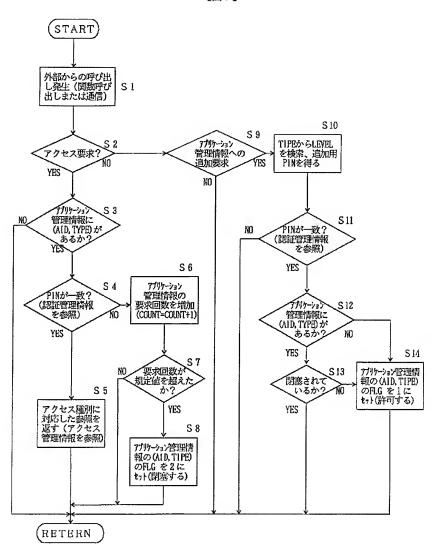


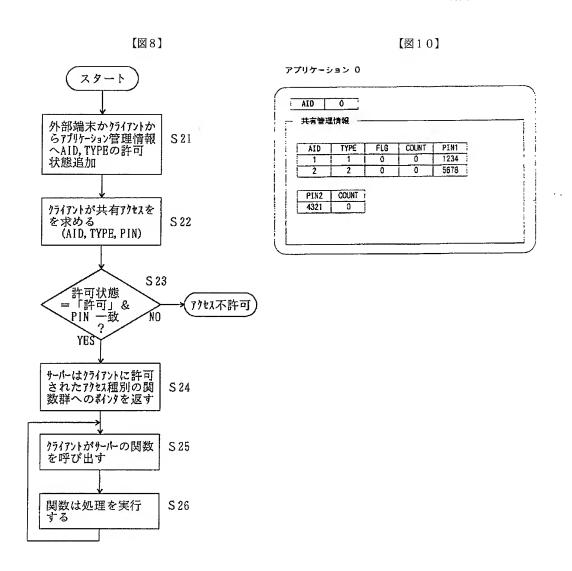
[図6]

アプリケーション 0

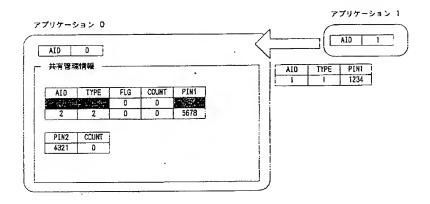


## 【図7】





【図11】



【図9】

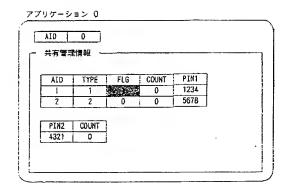
アプリケーション管理情報					
アプリケーション ID(AID)	アクセス種別 (TYPE)	許可状態 (FLG)	要求回数 (COUNT)	認証条件 (PINI)	
0	0	0 (=不許可)	0	0000	
1	1	1 (=許可)	1	1 2 3 4	
2	2	2 (=閉塞)	3	5678	

(a)

追加削除認証条件 管理情報		
認証条件 (PIN2)	要求回数 (COUNT)	
9876	0	

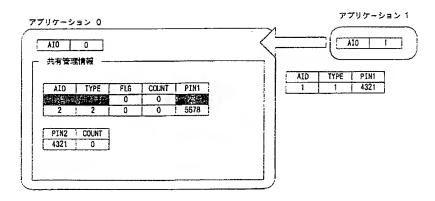
(b)

【図12】

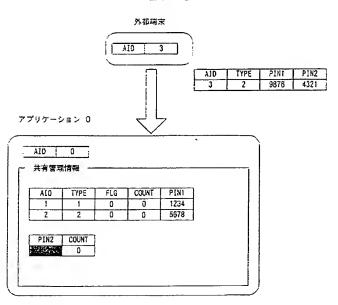


	アプリケ	ーション	:
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L	AID	1	!

【図13】

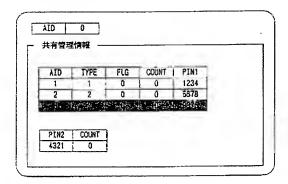


[図14]

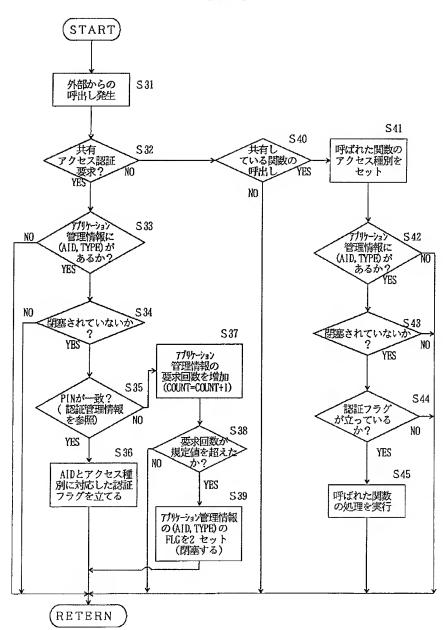


【図15】

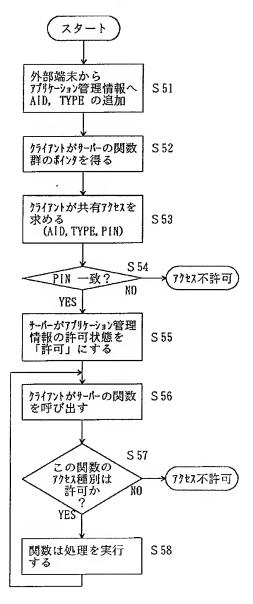
アプリケーション 0



【図16】







## **CLAIMS**

## [Claim(s)]

[Claim 1]In information processing equipment in which a cellular phone which can carry two or more rewritable applications, and in which shared access between each application is possible is possible, Each application (server application) has shared management information, Portable information processing equipment provided with a shared access controlling function judging permission/disapproval of access based on shared management information when there is an access request from other applications (client application).

[Claim 2]Information processing equipment in which the cellular phone according to claim 1 is possible, wherein said shared management information consists of application controlling information, access control information, and attestation management information.

[Claim 3] Access classification for every ID for said application controlling information to specify client application, Information processing equipment consisting of information which shows an authorized state over said ID and access classification, and request frequency which failed in attestation for every access classification and in which the cellular phone according to claim 2 is possible.

[Claim 4]Information processing equipment which carries out the feature of said access control information consisting of a returned value returned to an authentication level for every access classification, and accessed client application and in which the cellular phone according to claim 2 is possible.

[Claim 5]Information processing equipment in which the cellular phone according to claim 2 is possible, wherein said attestation management information consists of access authentication conditions and additional deletion attestation conditions according to an authentication level. [Claim 6]Information processing equipment in which the cellular phone according to claim 1 is possible, wherein said shared management information consists of application controlling information and additional deletion attestation condition management information.

[Claim 7]Access classification for every ID for said application controlling information to specify client application, Information processing equipment consisting of information which shows an authorized state over said ID and access classification, request frequency which failed in attestation for every access classification, and access authentication conditions and in which the cellular phone according to claim 6 is possible.

[Claim 8]Information processing equipment in which the cellular phone according to claim 6 is possible, wherein said additional deletion attestation condition management information consists of additional deletion attestation conditions and request frequency.

[Claim 9]Information processing equipment not receiving access after making an authorized state a blockade when said request frequency exceeds default value and in which the cellular phone according to claim 3, 7, or 8 is possible.

[Claim 10]When shared access from client application occurs, information which shows an authorized state is an authorized state, And on condition that attestation was materialized, server application passes a set of functions of access classification and/or a pointer of an object in an authorized state, Information processing equipment in which the cellular phone according to claim 3 is possible, wherein client application calls a server's function and a function performs processing.

[Claim 11]From external terminal equipment, to application controlling information Application ID, When shared access occurs from client application which access classification was added and gained a set of functions of server application, and/or a pointer of an object, If, as for server application, client application calls a function of server application for authorized-state information on application controlling information with permission on condition that attestation was materialized, Information processing equipment in which the cellular phone according to claim 7 is possible on condition that access classification of the function concerned judges with reference to application controlling information for whether it is an authorized state and it is in an authorized state, wherein a function performs processing.

## **DETAILED DESCRIPTION**

[Detailed Description of the Invention] [0001]

[Field of the Invention] This invention relates to the portable information processing equipment (for example, IC card) which can carry two or more rewritable applications, especially relates to management of access between applications.

[0002]

[Description of the Prior Art]Access between the applications on an IC card has a security top problem, and is usually impossible by OS etc. (firewall). However, in the IC card which carries two or more applications, in order to use limited resources effectively, it is necessary to allow restrictive access (shared access) under the environment mutually managed for the purpose of a function and sharing of data. Furthermore, since each application is added and deleted to arbitrary timing, a management method also needs to correspond to it. Although this shared access is explained below, client application and shared access are permitted for the side which requires shared access, and the side which provides a function and information will be called server application here.

[0003]When it carries application in an IC card conventionally, The information on other applications predicted that shared access is required beforehand (ID), the parameter (PIN:Personal Identification Number) for attestation, etc. are given to each application as data, When application wants to access other applications, the attestation parameters (PIN etc.) which serve as ID of their application and an argument to the target application are passed. In the server application side of which access was required, an access permit/disapproval is judged by coincidence/disagreement as compared with the data (group of application ID and an attestation parameter) in which he knows passed application ID and an attestation parameter beforehand. In adding the information (group of application ID and an attestation parameter) about other applications, the program of application is created newly and the procedure again reinstalled in an IC card performs.

[0004]

[Problem to be solved by the invention]The shared access management in such a conventional IC card had the following problems.

- \*\* Since it decided at the time of card issuing, the information on applications other than itself was not able to manage permission/disapproval of shared access correctly to the addition or deletion of application which were not assumed. It corresponds to the application added later as "a case of others", and enables it to accept use restrictively in the former.
- \*\* If the existing application is reput in in order to correspond to the application created later, in the case of the IC card of the mechanism in which especially application and data are united

and are managed, the data corresponding to the application may also disappear together.

\*\* Inaccurate application, such as changing an attestation parameter (PIN) and trying access repeatedly, may be able to acquire the inaccurate right of shared access.

[0005]While being for this invention solving an aforementioned problem and enabling it to correspond to the addition and deletion of application after card issuing, It aims at raising security by supervising unjust shared access and unjust access to shared management information, and blockading access to application.

[0006]

[Means for solving problem]In the information processing equipment in which the cellular phone in which this invention can carry two or more rewritable applications, and in which the shared access between each application is possible is possible, When each application (server application) has shared management information and there is an access request from other applications (client application), permission/disapproval of access are judged based on shared management information. As for this invention, shared management information consists of application controlling information, access control information, and attestation management information. This invention consists of information which shows the authorized state over access classification, and said ID and access classification for every ID for application controlling information to specify client application, and request frequency which failed in the attestation for every access classification. This invention carries out the feature of access control information consisting of a returned value returned to the authentication level for every access classification, and the accessed client application. This invention consists of access authentication [ management information / attestation ] conditions according to an authentication level, and additional deletion attestation conditions. As for this invention, shared management information consists of application controlling information and additional deletion attestation condition management information. This invention consists of the information which shows the authorized state over access classification, and said ID and access classification for every ID for application controlling information to specify client application, request frequency which failed in the attestation for every access classification, and access authentication conditions. As for this invention, additional deletion attestation condition management information consists of additional deletion attestation conditions and request frequency. This invention does not receive access after making an authorized state a blockade, when request frequency exceeds default value. When this invention has the shared access from client application, On condition that the information which shows an authorized state is an authorized state and attestation was materialized, Server application passes the set of functions of access classification and/or the pointer of an object in an authorized state, client application calls a server's function, and a function performs processing. This invention from external terminal equipment to application controlling information Application ID, When shared access occurs

from the client application which access classification was added and gained the set of functions of server application, and/or the pointer of the object, If, as for server application, client application calls the function of server application for the authorized-state information on application controlling information with permission on condition that attestation was materialized, The access classification of the function concerned judges with reference to application controlling information for whether it is an authorized state, and on condition that it is in an authorized state, a function performs processing.

[0007]

[Mode for carrying out the invention]Hereafter, with reference to Drawings, an embodiment of the invention is described taking the case of an IC card as information processing equipment in which a form is possible.

(The 1st working example) <u>Drawing 1</u> is a figure explaining the shared management information which each application carried in an IC card has. Shared management information consists of application controlling information (<u>drawing 1</u>(a)), access control information (<u>drawing 1</u>(b)), and attestation management information (<u>drawing 1</u>(c)). Although application controlling information consists of ID (AID) of client application, access classification (TYPE), an authorized state, and request frequency, the shared access from client application is managed and each application owns separately, It is not necessary to have the application which does not provide a shared access function. In that case, the shared access demand from which application will also be received.

[0008]Application ID (AID) is a number unique within an IC card at least for specifying client application. Access classification (TYPE) specifies data, the function and object (unit which put data and a function together) which are shared between the classification of access given to the application, and the number showing those sets, or it may be made to specify a direct address, a pointer, and a function name.

[0009]An authorized state shall express the authorized state of access to a certain application ID and access classification, and shall express permission / disapproval / blockade at worst. In addition, in order to make an access speed quick, once attestation is successful, it may be made to establish states, such as "momentary permission" etc. which limited "regular permission" and the number of times which do not need to attest after that, and a term. In a state of obstruction, the attestation of shared access itself is not received so that it may mention later. Request frequency counts the number of times which failed in attestation of access classification, and the number of times of the authentication failure of the addition/deletion request to application controlling information. It may be made to add an item so that both can be divided and counted about an addition and deletion.

[0010]Access control information consists of access classification, an authentication level, and a returned value. An authentication level is a number which shows the level of attestation

required at the time of the shared access. Returned values are those sets, such as a pointer to a function name, a variable identifier, and a function, a pointer to a variable, reference to an object, a real address, and a value (the value to a variable itself), in the reference value for the shared access returned to client application.

[0011]Attestation management information consists of an attestation bell, access authentication conditions (PIN1), and addition/deletion attestation conditions (collation with PIN2 which it has beforehand for an addition/deletion). Shared access is allowed, when there is a demand of the shared access of a certain authentication level and the access authentication conditions (comparison with PIN2 currently held beforehand) corresponding to it are fulfilled.

[0012]As it supposes that an addition/deletion in an application name and the group unit of access classification are possible for application controlling information and is shown in drawing 1 (b) in that case, The authentication level corresponding to access classification is set up, and different PIN for every level is prepared like drawing 1 (c) so that it may be carried out, on condition that addition/deletion attestation conditions corresponding to the authentication level are fulfilled.

[0013]Next, the procedure of an addition/deletion of application controlling information is explained.

- \*\* Pass application ID, the access classification, and the attestation parameter (PIN) to add (deletion) to server application from the client application or terminal side.
- \*\* Server application searches the attestation conditions corresponding to access classification, and carries out authenticating processings (collation of PIN, etc.). If it succeeds in attestation, application ID, access classification, and an authorized state (permission) will be added to the application controlling information which he has.
- \*\* In order to prevent unlawful access from inaccurate application or the outside, when attestation goes wrong and the increase of one and the specific number of times are reached in the count of request frequency, "blockade" an authorized state, and blockade the addition of application ID and access classification, and a deletion request after it. Unblocking cannot be performed or it is made to need specific attestation.

[0014]Next, the procedure of shared access management is explained.

- \*\* An access request occurs in data or processing through direct or OS from client application.
- \*\* Server application searches an authorized-state flag from the group of application ID and access classification with reference to a shared management table, It is permission, and if attestation conditions suit, the means of access is provided as returned values (data, the reference address to a function, etc.) to client application.

[0015] <u>Drawing 2</u> is a figure explaining the shared management information which each application has (it stores in a memory area). Application has its application ID (AID) and the

information on a shared management table so that it may illustrate, and the application of AID0 has application controlling information, access control information, and attestation management information about AID1 and AID2. The memory area where the data which application originally has by a diagram, a function, and others are stored is omitting the graphic display. Below, the application of AID0, and 1 and 2 will be called the applications 0, 1, and 2, respectively.

[0016]Drawing 3 is a figure explaining the example which asks for shared access from client application. The example of the figure shows signs that the application 1 asks for the access permit of the access classification 1 from the application 0. PIN1 is 1234, and the application 0 which serves as a server checks that it is in agreement and that an access state is permission (flag =1), takes [ attestation PIN is searched from the group of AID and TYPE (access classification), and ] out an access permit, and returns the reference to a shared data function. [0017]Drawing 4 is a figure showing an example which fails in shared access. Signs that the application 3 is asking for permission of the access classification (TYPE) 2 from the application 0 are shown. Since the application 0 which serves as a server does not have a group of AID3 and TYPE2 in a shared management table, it does not issue shared access permission. [0018]Drawing 5 is a figure showing an example which adds application to shared management information. Signs that the application 3 adds application to shared management information to the application 0 are shown, and the application 3 sends AID=3, TYPE=2, and an attestation parameter (PIN2=8765) corresponding to it to the application 0 which serves as a server. The application 0 compares PIN=8765 [ required to add the right to access of TYPE=2 1 (it holds beforehand) of the authentication level 2 with PIN2 from the application 3, and since both are in agreement, it adds AID3 and TYPE2 to shared management information. As a result, as shown in drawing 6, the application 3 is added to shared management information.

[0019]Drawing 7 is a figure showing the adding processing flow of shared access and application controlling information. It is judged that a call (a function call or communication) occurs from an external terminal or a client whether it is an access request (Step S2). (Step S1) It is judged whether application controlling information has the AID and TYPE as it is an access request (Step S3). when application controlling information has AID TYPE, subsequently authenticating processing (PIN -- do coincidence or not?) is performed (step S4), and when PIN is in agreement, the reference (returned value) corresponding to access classification is returned (Step S5). In step S4, when PIN is not in agreement, the request frequency of application controlling information is added one time (Step S6), It judges whether request frequency exceeded default value (Step S7), when it exceeds, the authorized-state flag of application controlling information is set to 2, and access of this application is blockaded (Step S8). In Step S2, when it is not an access request, it is judged whether it is an addition

request to application controlling information (step S9). When it is an addition request, an authentication level is searched from access classification and PIN of the authentication level 2 for an addition is obtained (Step S10). Subsequently, it judges whether PIN is in agreement (Step S11), in being in agreement, it judges whether application controlling information has AID and access classification (Step S12), when there is nothing, it adds to application controlling information, and an authorized-state flag is set to 1. It judges whether it is blockaded when application controlling information has AID access classification (Step S13), when blockaded, processing is ended, and when not blockaded, an authorized-state flag is set to 1.

[0020] Drawing 8 is a process flow explaining a procedure of shared access management. From an external terminal or a client, to application controlling information AID, access classification, If an authorized state is added (Step S21) and a client asks for shared access (Step S22), An authorized state is permission or it judges whether PIN is in agreement (Step S23), when not filling these, access is made into disapproval, and in filling, a server returns a pointer to a set of functions of access classification permitted to a client (Step S24). A client calls a server's function (Step S25), and a function (in practice object) performs processing (Step S26). Since a pointer to a function already granted a permission is given at this time, a check in particular is not carried out but processing is performed.

(The 2nd working example) When a pointer to a function was obtained, were trying to prepare PIN which enables it to access freely and is different for every authentication level, if attestation conditions differ for every access classification and it has become an authorized state in the 1st working example, but. PIN which sets attestation conditions to application ID to access classification, and is different for every level is not prepared, but when there is access, all the pointers are returned, and the function itself explains below an example which referred to an authorized state at the time of execution of each function.

[0021] Drawing 9 is a figure explaining shared management information, and consists of application controlling information and additional deletion attestation condition management information. Application controlling information consists of AID, access classification, an authorized state, request frequency, and attestation conditions (drawing 9 (a)), and additional deletion attestation condition management information consists of attestation conditions (PIN2) and request frequency. And application controlling information enables addition and deletion per group of an application name and access classification, and it becomes conditions in that case to fulfill the additional deletion attestation conditions of drawing 9 (b).

[0022]The additional procedure of the access control information in the 2nd working example is explained.

\*\* Pass the attestation conditions for additional deletion (PIN2) to server application from the terminal side. If attestation is successful, in order to progress to the next and to prevent

unlawful access from inaccurate application or the outside, when attestation goes wrong, the count of request frequency "is blockaded" when reaching the increase of one, and the specific number of times, and the addition to server application and a deletion request are blockaded after it. Unblocking cannot be performed or needs specific attestation.

\*\* Pass application ID, the access classification, and the attestation parameter (PIN1) which are added from the terminal side to server application. Server application adds application ID, access classification, and attestation conditions (PIN1) to the application controlling information which he has.

[0023]Next, the procedure of deletion of application controlling information is explained.

- \*\* Pass the attestation conditions for additional deletion (PIN2) to server application from the terminal side. If attestation is successful, in order to progress to the next and to prevent unlawful access from inaccurate application or the outside, when attestation goes wrong, the counter of request frequency "is blockaded" when reaching the increase of one, and the specific number of times, and the additional deletion request to server application is blockaded after it. Unblocking cannot be performed or needs specific attestation.
- \*\* Pass application ID, the access classification, and the attestation parameter (PIN1) which are deleted from the terminal side to server application.
- \*\* Server application searches application ID and access classification from the inside of the application controlling information which he has, and checks them about attestation conditions (PIN1). If attestation is successful, the management information to the application ID and access classification will be deleted.

[0024]Next, the procedure of shared access management is explained.

- \*\* Require shared access through direct or OS from client application, and the reference pointer to each function (in practice object) comes on the contrary from server application.
- \*\* Client application sends AID and PIN for access classification attestation as a parameter through the above-mentioned reference pointer.
- \*\* If a server (in practice a server's function for attestation) searches attestation conditions from the group of application ID and access classification and it succeeds in attestation with reference to a management table, he will set a permit flag and will be taken as an authorized state.
- \*\* A client calls each function currently shared from the server, and also passes AID as a parameter.
- \*\* With reference to a management table, the permit flag corresponding to AID and access classification (it is defined beforehand to which access classification each function belongs) confirms whether be "permission" or not, and if each function of the called server is permission, it will perform processing.

[0025] <u>Drawing 10</u> is a figure explaining the shared management information which application

has, and it is shown that the application 0 has additional deletion attestation condition PIN2=4321 with the management information about AID1 and 2.

[0026]Drawing 11 is a figure showing the example which asks for shared access from client application. Signs that the application 1 is asking for the access permit of the access classification 1 to the application 0 are shown, the application 0 which serves as a server searches attestation PIN from the group of AID and access classification, and PIN1 is 1234, respectively and it checks the right thing. Since PIN1 was in agreement, the authorized-state flag is set to 1 about AID1 and TYPE1 (drawing 12).

[0027]Drawing 13 is a figure showing the example which failed in shared access. The application 1 is asking for the access permit of the access classification 1 from the application 0, Since AlD1 and PIN1 of the access classification 1 are 1234 and PIN1 which the application 1 has presented is 4321, attestation is not materialized and the application 0 which serves as a server does not issue shared access permission.

[0028] Drawing 14 is a figure showing the example which adds application to shared management information. The application 3 sends the access classification 2, attestation parameter PIN1 corresponding to it, and PIN2 to the application 0 which serves as a server to the application 0. Since PIN2=4321 which attests about attestation conditions (PIN2) required to add the right to access of the application 0, and is sent from the application 3 is in agreement with PIN2=4321 which the application 0 has, AID=3, TYPE=2, and PIN1=9876 are added as shown in drawing 15.

[0029]Drawing 16 is a figure showing the process flow of the 2nd working example. [0030]It is judged that the call from the outside occurs whether it is an authentication demand of shared access (Step S32). (Step S31) When it is a shared access authentication demand, it is judged whether application controlling information has the AID and access classification (Step S33). It judges whether in a certain case, it is blockaded (Step S34), and when not blockaded, it is judged whether PIN is in agreement (Step S35). Coincidence of PIN will set the authentication flag corresponding to AID and access classification (Step S36). If PIN is not in agreement, the request frequency of application controlling information is made to increase one time in Step S35 (Step S37), Subsequently, it judges whether request frequency exceeded default value (Step S38), when having exceeded, the flag of AID and access classification to which application controlling information corresponds is set to 2, and it blockades (Step S39). In Step S32, when it is not the demand of shared access attestation, it is judged whether it is the function-designator demand currently shared (Step S40). The access classification of the function called as it is a function-designator demand is set (Step S41), it judges whether subsequently to application controlling information there are the AID and access classification (Step S42), and it is judged whether in a certain case, it is blockaded (Step S43). When not blockaded, processing of the function called when it judged whether the authentication flag

would stand (Step S44) and the authentication flag stood is performed (Step S45). [0031]Drawing 17 is a process flow which shows the procedure of the shared access management in the 2nd working example. The client application with which AID and access classification were added to application controlling information (Step S51) can obtain the pointer of the set of functions of server application from an external terminal (Step S52). If this client application requires shared access (Step S53), If server application judges whether PIN is in agreement (Step S54), its PIN corresponds, the authorized state of application controlling information is made "permission" (Step S55) and it is not in agreement, let it be disapproval. Subsequently, if client application calls the function of server application (Step S56), If it judges [whether the access classification of this function is in an authorized state, and ] with reference to application controlling information (Step S57) and is in an authorized state, a function will perform processing, and it will become access disapproval if it is not an authorized state.

[0032]

[Effect of the Invention]As mentioned above, in the shared access management [ according to this invention ] in the portable information processing equipment which can carry two or more applications, It is possible to be able to manage permission/disapproval of access classification correctly also to the addition and deletion of application which were not assumed at the beginning, and to make a function and data accessible/impossible. It becomes possible to prevent the shared access from inaccurate application and to prevent acquisition of the inaccurate right of shared access.

## **TECHNICAL FIELD**

[Field of the Invention] This invention relates to the portable information processing equipment (for example, IC card) which can carry two or more rewritable applications, especially relates to management of access between applications.

## **PRIOR ART**

[Description of the Prior Art]Access between the applications on an IC card has a security top problem, and is usually impossible by OS etc. (firewall). However, in the IC card which carries two or more applications, in order to use limited resources effectively, it is necessary to allow restrictive access (shared access) under the environment mutually managed for the purpose of a function and sharing of data. Furthermore, since each application is added and deleted to arbitrary timing, a management method also needs to correspond to it. Although this shared access is explained below, client application and shared access are permitted for the side which requires shared access, and the side which provides a function and information will be called server application here.

[0003]When it carries application in an IC card conventionally, The information on other applications predicted that shared access is required beforehand (ID), the parameter (PIN:Personal Identification Number) for attestation, etc. are given to each application as data, When application wants to access other applications, the attestation parameters (PIN etc.) which serve as ID of their application and an argument to the target application are passed. In the server application side of which access was required, an access permit/disapproval is judged by coincidence/disagreement as compared with the data (group of application ID and an attestation parameter) in which he knows passed application ID and an attestation parameter beforehand. In adding the information (group of application ID and an attestation parameter) about other applications, the program of application is created newly and the procedure again reinstalled in an IC card performs.

## EFFECT OF THE INVENTION

[Effect of the Invention] As mentioned above, in the shared access management [ according to this invention ] in the portable information processing equipment which can carry two or more applications, It is possible to be able to manage permission/disapproval of access classification correctly also to the addition and deletion of application which were not assumed at the beginning, and to make a function and data accessible/impossible. It becomes possible to prevent the shared access from inaccurate application and to prevent acquisition of the inaccurate right of shared access.

## TECHNICAL PROBLEM

[Problem to be solved by the invention]The shared access management in such a conventional IC card had the following problems.

- \*\* Since it decided at the time of card issuing, the information on applications other than itself was not able to manage permission/disapproval of shared access correctly to the addition or deletion of application which were not assumed. It corresponds to the application added later as "a case of others", and enables it to accept use restrictively in the former.
- \*\* If the existing application is reput in in order to correspond to the application created later, in the case of the IC card of the mechanism in which especially application and data are united and are managed, the data corresponding to the application may also disappear together.
- \*\* Inaccurate application, such as changing an attestation parameter (PIN) and trying access repeatedly, may be able to acquire the inaccurate right of shared access.

[0005]While being for this invention solving an aforementioned problem and enabling it to correspond to the addition and deletion of application after card issuing, It aims at raising security by supervising unjust shared access and unjust access to shared management information, and blockading access to application.

## **MEANS**

[Means for solving problem]In information processing equipment in which a cellular phone in which this invention can carry two or more rewritable applications, and in which shared access between each application is possible is possible, When each application (server application) has shared management information and there is an access request from other applications (client application), permission/disapproval of access are judged based on shared management information. As for this invention, shared management information consists of application controlling information, access control information, and attestation management information. This invention consists of information which shows an authorized state over access classification, and said ID and access classification for every ID for application controlling information to specify client application, and request frequency which failed in attestation for every access classification. This invention carries out the feature of access control information consisting of a returned value returned to an authentication level for every access classification, and accessed client application. This invention consists of access authentication [ management information / attestation ] conditions according to an authentication level, and additional deletion attestation conditions. As for this invention, shared management information consists of application controlling information and additional deletion attestation condition management information. This invention consists of information which shows an authorized state over access classification, and said ID and access classification for every ID for application controlling information to specify client application, request frequency which failed in attestation for every access classification, and access authentication conditions. As for this invention, additional deletion attestation condition management information consists of additional deletion attestation conditions and request frequency. This invention does not receive access after making an authorized state a blockade, when request frequency exceeds default value. When this invention has the shared access from client application, On condition that information which shows an authorized state is an authorized state and attestation was materialized, Server application passes a set of functions of access classification and/or a pointer of an object in an authorized state, client application calls a server's function, and a function performs processing. This invention from external terminal equipment to application controlling information Application ID, When shared access occurs from client application which access classification was added and gained a set of functions of server application, and/or a pointer of an object, If, as for server application, client application calls a function of server application for authorized-state information on application controlling information with permission on condition that attestation was materialized, Access classification of the function concerned judges with reference to application controlling information for whether it is an authorized state, and on condition that it is in an authorized state, a function performs

processing.

[0007]

[Mode for carrying out the invention]Hereafter, with reference to Drawings, an embodiment of the invention is described taking the case of an IC card as information processing equipment in which a form is possible.

### **EXAMPLE**

(The 1st working example) <u>Drawing 1</u> is a figure explaining the shared management information which each application carried in an IC card has. Shared management information consists of application controlling information (<u>drawing 1</u> (a)), access control information (<u>drawing 1</u> (b)), and attestation management information (<u>drawing 1</u> (c)). Although application controlling information consists of ID (AID) of client application, access classification (TYPE), an authorized state, and request frequency, the shared access from client application is managed and each application owns separately, It is not necessary to have the application which does not provide a shared access function. In that case, the shared access demand from which application will also be received.

[0008]Application ID (AID) is a number unique within an IC card at least for specifying client application. Access classification (TYPE) specifies data, the function and object (unit which put data and a function together) which are shared between the classification of access given to the application, and the number showing those sets, or it may be made to specify a direct address, a pointer, and a function name.

[0009]An authorized state shall express the authorized state of access to a certain application ID and access classification, and shall express permission / disapproval / blockade at worst. In addition, in order to make an access speed quick, once attestation is successful, it may be made to establish states, such as "momentary permission" etc. which limited "regular permission" and the number of times which do not need to attest after that, and a term. In a state of obstruction, the attestation of shared access itself is not received so that it may mention later. Request frequency counts the number of times which failed in attestation of access classification, and the number of times of the authentication failure of the addition/deletion request to application controlling information. It may be made to add an item so that both can be divided and counted about an addition and deletion.

[0010]Access control information consists of access classification, an authentication level, and a returned value. An authentication level is a number which shows the level of attestation required at the time of the shared access. Returned values are those sets, such as a pointer to a function name, a variable identifier, and a function, a pointer to a variable, reference to an object, a real address, and a value (the value to a variable itself), in the reference value for the shared access returned to client application.

[0011]Attestation management information consists of an attestation bell, access authentication conditions (PIN1), and addition/deletion attestation conditions (collation with PIN2 which it has beforehand for an addition/deletion). Shared access is allowed, when there is a demand of the shared access of a certain authentication level and the access authentication conditions (comparison with PIN2 currently held beforehand) corresponding to it

are fulfilled.

[0012]As it supposes that an addition/deletion in an application name and the group unit of access classification are possible for application controlling information and is shown in drawing 1 (b) in that case, The authentication level corresponding to access classification is set up, and different PIN for every level is prepared like drawing 1 (c) so that it may be carried out, on condition that addition/deletion attestation conditions corresponding to the authentication level are fulfilled.

[0013]Next, a procedure of an addition/deletion of application controlling information is explained.

- \*\* Pass application ID, access classification, and an attestation parameter (PIN) to add (deletion) to server application from the client application or terminal side.
- \*\* Server application searches attestation conditions corresponding to access classification, and carries out authenticating processings (collation of PIN, etc.). If it succeeds in attestation, application ID, access classification, and an authorized state (permission) will be added to application controlling information which he has.
- \*\* In order to prevent unlawful access from inaccurate application or the outside, when attestation goes wrong and the increase of one and the specific number of times are reached in a count of request frequency, "blockade" an authorized state, and blockade an addition of application ID and access classification, and a deletion request after it. Unblocking cannot be performed or it is made to need specific attestation.

[0014]Next, a procedure of shared access management is explained.

- \*\* An access request occurs in data or processing through direct or OS from client application.
- \*\* Server application searches an authorized-state flag from a group of application ID and access classification with reference to a shared management table, It is permission, and if attestation conditions suit, a means of access is provided as returned values (data, a reference address to a function, etc.) to client application.

[0015]Drawing 2 is a figure explaining shared management information which each application has (it stores in a memory area). Application has its application ID (AID) and the information on a shared management table so that it may illustrate, and application of AID0 has application controlling information, access control information, and attestation management information about AID1 and AID2. A memory area where data which application originally has by a diagram, a function, and others are stored is omitting a graphic display. Below, application of AID0, and 1 and 2 will be called the applications 0, 1, and 2, respectively.

[0016]Drawing 3 is a figure explaining an example which asks for shared access from client application. An example of a figure shows signs that the application 1 asks for an access permit of the access classification 1 from the application 0. PIN1 is 1234, and the application 0 which serves as a server checks that it is in agreement and that an access state is permission

(flag =1), takes [ attestation PIN is searched from a group of AID and TYPE (access classification), and ] out an access permit, and returns reference to a shared data function. [0017]Drawing 4 is a figure showing the example which fails in shared access. Signs that the application 3 is asking for permission of the access classification (TYPE) 2 from the application 0 are shown. Since the application 0 which serves as a server does not have a group of AID3 and TYPE2 in a shared management table, it does not issue shared access permission. [0018]Drawing 5 is a figure showing the example which adds application to shared management information. Signs that the application 3 adds application to shared management information to the application 0 are shown, and the application 3 sends AID=3, TYPE=2, and the attestation parameter (PIN2=8765) corresponding to it to the application 0 which serves as a server. The application 0 compares PIN=8765 [ required to add the right to access of TYPE=2 ] (it holds beforehand) of the authentication level 2 with PIN2 from the application 3, and since both are in agreement, it adds AID3 and TYPE2 to shared management information. As a result, as shown in drawing 6, the application 3 is added to shared management information.

[0019]Drawing 7 is a figure showing the adding processing flow of shared access and application controlling information. It is judged that a call (a function call or communication) occurs from an external terminal or a client whether it is an access request (Step S2). (Step S1) It is judged whether application controlling information has the AID and TYPE as it is an access request (Step S3). when application controlling information has AID TYPE, subsequently authenticating processing (PIN -- do coincidence or not?) is performed (step S4), and when PIN is in agreement, the reference (returned value) corresponding to access classification is returned (Step S5). In step S4, when PIN is not in agreement, the request frequency of application controlling information is added one time (Step S6), It judges whether request frequency exceeded default value (Step S7), when it exceeds, the authorized-state flag of application controlling information is set to 2, and access of this application is blockaded (Step S8). In Step S2, when it is not an access request, it is judged whether it is an addition request to application controlling information (step S9). When it is an addition request, an authentication level is searched from access classification and PIN of the authentication level 2 for an addition is obtained (Step S10). Subsequently, it judges whether PIN is in agreement (Step S11), in being in agreement, it judges whether application controlling information has AID and access classification (Step S12), when there is nothing, it adds to application controlling information, and an authorized-state flag is set to 1. It judges whether it is blockaded when application controlling information has AID access classification (Step S13), when blockaded, processing is ended, and when not blockaded, an authorized-state flag is set to 1.

[0020]Drawing 8 is a process flow explaining the procedure of shared access management.

From an external terminal or a client, to application controlling information AID, access classification, If an authorized state is added (Step S21) and a client asks for shared access (Step S22), An authorized state is permission or it judges whether PIN is in agreement (Step S23), when not filling these, access is made into disapproval, and in filling, a server returns the pointer to the set of functions of the access classification permitted to the client (Step S24). A client calls a server's function (Step S25), and a function (in practice object) performs processing (Step S26). Since the pointer to the function already granted a permission is given at this time, a check in particular is not carried out but processing is performed.

## **DESCRIPTION OF DRAWINGS**

[Brief Description of the Drawings]

[Drawing 1]It is a figure explaining the shared management information which each application carried in an IC card has.

[Drawing 2]It is a figure explaining the shared management information which each application has.

[Drawing 3]It is a figure explaining the example which asks for shared access from client application.

[Drawing 4]It is a figure showing the example which fails in shared access.

[Drawing 5]It is a figure showing the example which adds application to shared management information.

[Drawing 6] It is a figure showing the example in which application was added to shared management information.

[Drawing 7] It is a figure showing the adding processing flow of shared access and application controlling information.

[Drawing 8]It is a process flow figure explaining the procedure of shared access management.

[Drawing 9]It is a figure explaining shared management information.

[Drawing 10]It is a figure explaining the shared management information which application has.

[Drawing 11] It is a figure showing the example which asks for shared access from client application.

[Drawing 12]It is a figure showing the example which stands as for an attested flag.

[Drawing 13]It is a figure showing the example which failed in shared access.

[Drawing 14] It is a figure about \*\* in the example which adds application to shared management information.

[Drawing 15] It is the figure of an example with which application was added to shared management information.

[Drawing 16] It is a figure showing the process flow of the 2nd working example.

[Drawing 17] It is a figure showing the process flow of the procedure of shared access management of the 2nd working example.

[Explanations of letters or numerals]

AID -- Applications ID and TYPE -- Access classification.